

Integrity of the AID serine-38 phosphorylation site is critical for class switch recombination and somatic hypermutation in mice

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Activation-induced cytidine deaminase (AID) is a single-stranded (ss) DNA-specific cytidine deaminase that initiates Ig heavy chain (IgH) class switch recombination (CSR) and Ig somatic hypermutation (SHM) by deaminating cytidines within, respectively, IgH switch (S) regions and Ig variable region (V) exons. AID that is phosphorylated on serine residue 38 interacts with replication protein A (RPA), a ssDNA binding protein, to promote deamination of transcribed double-stranded DNA in vitro, which, along with other evidence, suggests that AID may similarly gain access to transcribed S regions and V exons in vivo. However, the physiological role of AID phosphorylation at serine residue 38 (S38), and even the requirement for the S38 residue, with respect to CSR or SHM has been debated. To address this issue, we used gene targeting to generate an endogenous mouse AID locus that produces AID in which S38 is substituted with alanine (AID^{S38A}), a mutant form of AID that retains similar catalytic activity on ssDNA as WT AID (AID^{WT}). B cells homozygous for the AID^{S38A} mutation show substantially impaired CSR and SHM, correlating with inability of AID^{S38A} to interact with endogenous RPA. Moreover, mice haploinsufficient for AID^{S38A} have even more severely impaired CSR when compared with mice haploinsufficient for AID^{WT}, with CSR levels reduced to nearly background levels. These results unequivocally demonstrate that integrity of the AID S38 phosphorylation site is required for normal CSR and SHM in mice and strongly support a role for AID phosphorylation at S38 and RPA interaction in regulating CSR and SHM.

activation-induced deaminase | protein kinase A | R-loop

In response to antigenic stimulation, mature B cells undergo Ig heavy chain (IgH) class switch recombination (CSR) (1, 2). CSR is a B-cell-specific recombination/deletion process that replaces the initially expressed C μ IgH constant region (C_H) exons with one of several sets of downstream IgH C_H exons (C γ , C ϵ , or C α). Thereby, CSR allows a B cell to switch from expression of IgM to expression of a secondary IgH isotype (IgG, IgE, or IgA) with a different effector function. Each set of C_H exons is preceded by a repetitive switch (S) region 1–12 kb in length. CSR involves introduction of DNA double-strand breaks (DSBs) into the donor S μ and into a downstream acceptor S region, followed by their joining and the accompanying deletion of intervening sequences. Mature B cells further diversify their antibody repertoire by undergoing somatic hypermutation (SHM), a process in which point mutations, and occasional deletions and insertions are introduced into the variable region (V) exons of IgH and Ig light chain genes (3, 4). Although SHM can occur throughout the V genes, the tetranucleotide sequence RGYW (R = purine, Y = pyrimidine, W = A or T nucleotide) serves as a SHM hotspot.

The activation-induced cytidine deaminase (AID) is required for the initiation of both CSR and SHM (5, 6). AID is a single-stranded (ss) DNA-specific DNA cytidine deaminase (7–9) that initiates CSR and SHM by converting cytidine (C) to

uridine (U) in targeted S regions or V exons, respectively (10). Subsequently, the introduced U/G mismatches are processed by components of the base-excision repair (BER) machinery or mismatch repair (MMR) pathways to ultimately generate DNA DSBs that serve as obligatory CSR intermediates or point mutations in the context of SHM (10). Ligation of DSBs between 2 different S regions completes CSR. Transcription appears to play a major role in allowing access of the ssDNA-specific AID to duplex DNA. S regions are G/C rich with a characteristic G-rich nontemplate strand (1, 2). Transcription through S regions generates RNA/DNA hybrid structures, termed *R-loops*, in which the nontemplate strand is displaced as ssDNA (11–13). R loops have been shown to provide AID access to duplex S region DNA in vitro, thus providing a mechanism of AID activity on duplex DNA (7). However, V exons do not form R loops when transcribed, indicating other types of AID access mechanisms during SHM (14). Likewise, the *Xenopus* S μ region, which does not form an R-loop but is very rich in the AGCT sequence, a canonical subset of the RGYW hotspot motif, can serve as an efficient CSR substrate when inserted in place of a mouse S region (15). Thus, these findings predicted that additional mechanisms exist to allow AID to access DNA that does not form R-loops on transcription.

Attempts to elucidate such additional mechanisms by which AID could deaminate duplex DNA led to the finding that AID is phosphorylated on serine residue 38 (S38) by protein kinase A (PKA) (16–18). Biochemical studies demonstrated that AID phosphorylated on S38 interacts with replication protein A (RPA), and thereby binds to and deaminates V exons and *Xenopus* S regions when they are transcribed by T7 RNA polymerase in vitro (14–16), an activity that may be enhanced by the presence of SHM motifs (14). Based on this body of work, it was proposed that RPA, in a complex with AID, stabilizes ssDNA bubbles, primarily at RGYW sequences, within transcribed V genes to generate deamination substrates for AID during SHM (14). In addition, because RGYW motifs, particularly the AGCT sequence, are highly enriched in S regions, the RPA-dependent mechanism was suggested to be important for CSR beyond simple access of AID to S regions via R-loops (15). Because of the intrinsic ability of RPA to coordinate with BER and MMR pathways, which process AID-mediated deaminated

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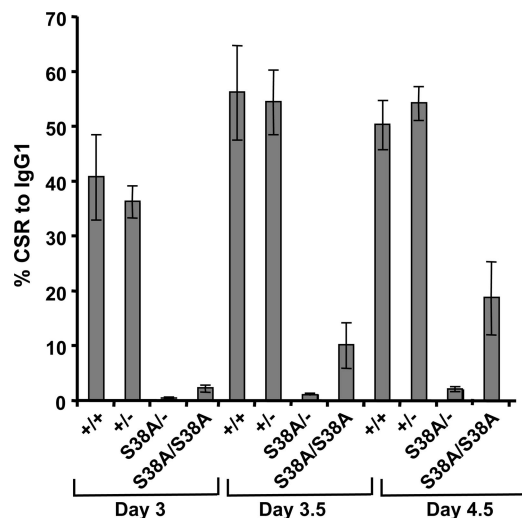


Fig. 2. CSR activity in AID^{S38A/S38A} and AID^{S38A/-} mice for IgG1. B cells were isolated from the spleen of mice of the indicated genotypes and stimulated for CSR to IgG1 for 3, 3.5, and 4.5 days using anti-CD40 antibodies and IL-4. Levels of CSR in these B cells were analyzed using FACS as described in *Materials and Methods*. The percentage of IgG1⁺B220⁺ cells for each genotype was plotted against the days in culture. Each bar graph represents the mean of multiple experiments (Table S1), with the error bars representing SD from the mean. AID-deficient splenic B cells activated with anti-CD40 plus IL-4 were used as controls, and less than 0.1% of the cells stained for IgG1 at all 3 time points (Table S1).

demonstrate that the interaction between endogenous AID and RPA is dependent on the integrity of the AID S38 residue.

Decreased CSR of *AID*^{S38A/S38A} Mutant Cells to IgG1 and IgG3. To examine the effects of the endogenous *AID*^{S38A} mutation on CSR, we purified splenic *AID*^{S38A/S38A} B cells from the RDBC chimeras or homozygous germline mutant mice and compared their ability to undergo class switching to IgG1 or IgG3 with that of WT B cells following culture in the presence of anti-CD40 plus IL-4 or LPS plus anti- δ -dextran, respectively. Switching to IgG1 and IgG3 was assayed at days 3.0, 3.5, and 4.5 by staining B cells with anti-IgG1- or anti-IgG3-specific antibodies followed by flow cytometric analyses. On average, about 40% of *AID*^{WT/WT} B cells stimulated with anti-CD40 plus IL-4 switched to IgG1 at day 3 poststimulation and about 50–60% switched to IgG1 at days 3.5 and 4.5 poststimulation (Fig. 2, Fig. S3A, and Table S1). In contrast, only about 2% of *AID*^{S38A/S38A} B cells activated in parallel switched to IgG1 at day 3 (about 5% of WT levels), about 10% switched to IgG1 at day 3.5 (about 18% of WT levels), and about 18% switched to IgG1 at day 4.5 (about 40% of WT levels) (Fig. 2, Fig. S3A, and Table S1). The increased relative levels of *AID*^{S38A/S38A} B cells that switched to IgG1 compared with WT B cells at later time points may reflect WT switching reaching a maximum by day 3.5, although *AID*^{S38A/S38A} continues to rise (Fig. 2). In this case, the values at day 3 may better estimate the relative ability of *AID*^{S38A} to function in CSR relative to *AID*^{WT}. Following stimulation with LPS plus anti- δ -dextran, on average, $\approx 7.5\%$ of WT B cells underwent CSR to IgG3 at day 3, with levels rising to 12.5% at day 3.5 and to about 18% at day 4.5 (Fig. 3, Fig. S3B, and Table S1). In contrast, switching to IgG3 in *AID*^{S38A/S38A} B cells was barely above *AID*-deficient background (less than 1%) at all 3 time points (Fig. 3, Fig. S3B, and Table S1). Overall, our finding show that mouse B cells homozygous for the *AID*^{S38A} mutation showed severely impaired CSR to IgG1 and barely detectable CSR to IgG3.

Haploinsufficiency for the AID^{S38A} Mutation Leads to an Extremely Severe Defect in CSR. Small differences in the levels of ectopically expressed AID proteins in B cells may significantly alter CSR,

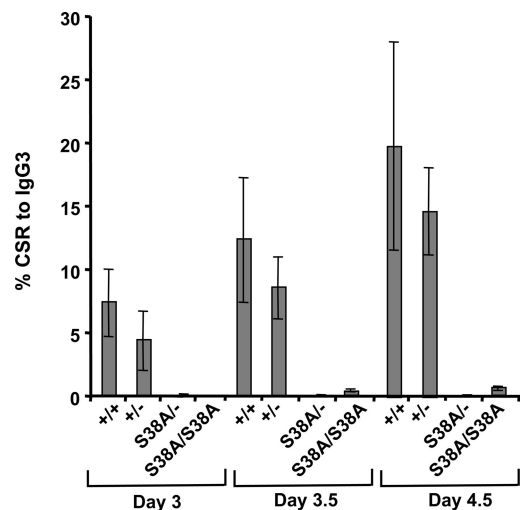


Fig. 3. CSR activity in AID^{S38A/S38A} and AID^{S38A/-} mice for IgG3. B cells isolated from mice of the indicated genotypes were stimulated for CSR to IgG3 for 3, 3.5, and 4.5 days using LPS and anti- δ -dextran. Levels of CSR in these B cells were analyzed using FACS. The percentage of IgG3⁺B220⁺ cells was plotted against time in culture. Each bar graph represents the mean of multiple experiments (Table S1), with the error bars representing SD from the mean. AID-deficient splenic B cells activated with LPS plus anti- δ -dextran were used as controls, and less than 0.4% of the cells stained for IgG1 at all 3 time points (Table S1).

SHM, and translocation-generating activities (21, 23, 25). In addition, in a BALB/c background, haploinsufficiency for the *AID*^{WT} gene leads to correspondingly reduced AID levels and reduced levels of CSR (23). In this context, the more substantial impairment of CSR by *AID*^{S38A/S38A} B cells to IgG3 when stimulated with LPS plus anti- δ -dextran versus CSR to IgG1 when stimulated with anti-CD40 plus IL-4 might be explained, at least in part, by the apparently lower levels of AID induction with the former conditions (Fig. S2 A and B; also in Discussion). To explore the effects of endogenous levels on CSR in cells expressing *AID*^{WT} versus *AID*^{S38A} further, we assayed relative CSR activity of *AID*^{WT/-} and *AID*^{S38A/-} B cells in which AID expression levels were reduced to roughly 50% those of *AID*^{WT/WT} and *AID*^{S38A/S38A} mutant B cells (Fig. 1).

Following appropriate stimulations with anti-CD40 plus IL-4 or LPS plus anti- δ -dextran, respectively, *AID*^{WT/-} B cells underwent IgH class switching to IgG1 at levels that were similar to those of *AID*^{WT/WT} B cells (e.g., about 55% at day 3.5; Fig. 2) but had slightly reduced levels of CSR to IgG3 compared with *AID*^{WT/WT} B cells (e.g., about 12.6% in *AID*^{WT/WT} vs. 8.8% in *AID*^{WT/-} B cells; Fig. 3), with the latter reduction consistent with the haploinsufficiency phenotype previously reported (23). Remarkably, however, appropriately stimulated *AID*^{S38A/-} B cells showed extremely impaired IgH class switching to both IgG1 (less than 4% of WT) and IgG3 (levels were not greater than background observed with *AID*^{-/-} B cells) as compared with those of *AID*^{WT/-} controls at all days of stimulation (Figs. 2 and 3, Fig. S4, and Table S1). Therefore, reduction of AID levels by about 50% (Fig. 1) has a much more severe effect on the level of CSR in B cells expressing endogenous *AID*^{S38A} than in those expressing endogenous *AID*^{WT}, consistent with our prior predictions (21).

Reduced Somatic Hypermutation in AID^{S38A/S38A} Peyer's Patch B Cells. B220⁺PNA^{hi} germinal center B cells isolated from Peyer's patches of 2-month-old AID^{S38A/S38A} RDBC chimeras and WT mice were analyzed for SHM of a 672-bp region immediately 3' of V_HJ558DJ_H4 rearrangements (26). In comparison to the age-matched AID^{WT/WT} B cells (analyses from 3 different

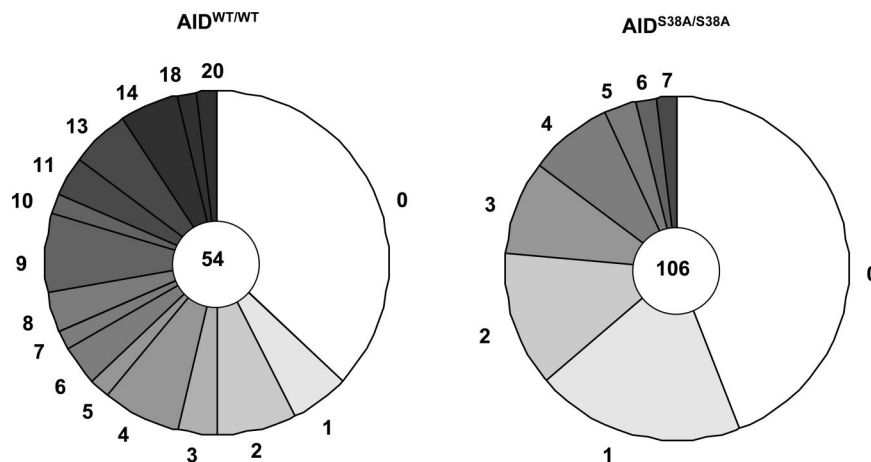


Fig. 4. SHM in Peyer's patch B cells. SHM within a 672-bp region of DNA downstream of J_H4 was detected using *AID*^{WT/WT} and *AID*^{S38A/S38A} Peyer's patch germinal center B cells. Three 12-week-old *AID*^{S38A/S38A} mice and 2 age-matched WT control mice were used. Mutation error rate attributable to PCR using Pfu (6.76 mutations/10⁵ bp) was obtained by analyzing SHM in B cells obtained from *AID*-deficient mice.

mutant chimeras and 2 different WT mice), we observed a substantial decrease (greater than 70%) in the frequency of SHM of this downstream J_H4 sequence in *AID*^{S38A/S38A} B cells (Fig. 4). From our preliminary analyses, we failed to observe any gross perturbation on the SHM spectra of *AID*^{S38A/S38A} B cells (data not shown). However, to make any firm conclusions regarding potential minor changes in the SHM spectra, greater numbers of sequences need to be analyzed. We conclude that the *AID*^{S38A} mutant protein is significantly compromised in its ability to mediate SHM.

Discussion

In this report, we generate and analyze chimeric or germline mutant mice in which mature B lymphocytes express the *AID*^{S38A} protein from the endogenous *AID* locus. These analyses unequivocally establish the fact that mutation of the *AID* S38 PKA phosphorylation site to alanine markedly impairs CSR and SHM in vivo. Therefore, our findings, along with very similar findings from a contemporaneous independent study (24), lay to rest the apparent controversy surrounding whether or not the *AID*^{S38A} mutant protein has substantially reduced CSR activity (20, 21). Although it still might be argued that the defective CSR and SHM phenotype of B cells that express *AID*^{S38A} from their endogenous *AID* alleles somehow reflects general adverse effects of S38A mutation unrelated to *AID* phosphorylation (20), several lines of cumulative evidence argue against this possibility. First, the ssDNA deamination activity of *AID*^{S38A} is comparable to that of *AID*^{WT} (16), indicating that the mutation does not alter core catalytic activity of the protein. Second, unphosphorylated *AID*^{WT}, or *AID*^{S38A} that cannot be phosphorylated by PKA in vitro, loses its ability to bind RPA and mediate deamination of transcribed double-stranded (ds) DNA, despite retaining ssDNA deamination activity (14, 16). Finally, second site mutations that restore RPA binding and transcription-dependent dsDNA deamination activity to *AID*^{S38A} in the absence of PKA phosphorylation significantly rescue impaired CSR activity of the *AID*^{S38A} mutant protein (19). Together, these results provide compelling evidence that impaired CSR and SHM activity of the *AID*^{S38A} mutant protein largely results from defective *AID* phosphorylation and associated inability to bind RPA.

During CSR, both R-loop-dependent and R-loop-independent mechanisms have been implicated in the targeting of *AID* to S regions (2, 7, 13, 15). In this context, one interpretation of the major CSR defect in *AID*^{S38A/S38A} homozygous mice is that an R-loop-independent mechanism, which relies on *AID* phos-

phorylation and RPA binding, has a quite predominant in vivo role in promoting *AID* access to S regions. It is conceivable that the *AID*-RPA complex might be particularly important for *AID* access to the template S region strand (which remains bound to RNA in R-loops), perhaps via antisense transcription through S regions (27). Another possibility, not mutually exclusive, is that RPA recruited in the context of the *AID*-RPA complex has functions downstream of cytidine deamination. For example, RPA recruited to S regions via an *AID*-RPA complex might recruit other factors that participate in the generation of DSBs or their subsequent ligation (2). Finally, although all results to date indicate that the primary role of *AID* phosphorylation is to promote RPA association (19), it remains conceivable that *AID* S38 phosphorylation has additional roles, for example, promoting release of *AID* from an inactive complex that sequesters it in the cytoplasm or in an inhibitory ribonucleoprotein complex (9).

The dramatic haploinsufficiency phenotype of the *AID*^{S38A} mutation relative to *AID*^{WT} indicates a remarkable dependency of CSR activity on *AID*^{S38A} protein levels. In this context, reduction of *AID*^{WT} levels by about 50% has, at most, modest effects on CSR in B cells activated in vitro, whereas reduction of *AID*^{S38A} by a similar amount dramatically diminishes CSR, nearly abolishing it under LPS plus anti- δ -dextran activation conditions for switching to IgG3 (Figs. 1 and 3). There could be multiple nonmutually exclusive explanations for this remarkable phenotype. One intriguing possibility is that the *AID* protein is normally titrated by a "stoichiometric" inhibitor, such that increase in *AID* protein greater than the titrated level or *AID* modifications, such as S38 phosphorylation, result in generation of active *AID* protein. Whatever the mechanistic explanation for the haploinsufficiency phenotype, we note that our CSR assays were done on B cells activated in vitro under conditions that conceivably could lead to *AID* levels greater than those generated in at least some normal in vivo immune responses. If so, the influence of *AID* S38 phosphorylation in vivo might (at least in some cases) be better reflected by our findings regarding the dramatic effect of haploinsufficiency with respect to the *AID*^{S38A} mutation. Similarly, lower levels of *AID* induction in LPS plus anti- δ -dextran, versus anti-CD40 plus IL-4 conditions, might result in the more dramatic negative effects on the *AID*^{S38A} mutation on CSR to IgG3, although we cannot rule out the possibility that the smaller size of the S γ 3 and/or its different sequence compared with S γ 1 could also make it a poorer *AID* target and lead to a more dramatic effect of the S38A mutation.

The SHM defect observed in AID^{S38A} mice supports the proposed model that RPA association with AID has some role in promoting AID access to transcribed V exons during SHM (14). Whether RPA has additional roles in the processing of deaminated DNA during SHM requires detailed characterization of the SHM spectrum in $AID^{S38A/S38A}$ mutant B cells. We note, however, that SHM does occur, albeit at reduced levels, in $AID^{S38A/S38A}$ B cells, indicating that AID must have access to V region exons in a S38-phosphorylation-independent and RPA-independent fashion. Given that transcription is clearly an important factor in AID access to V region exons (3), a possible access mechanism, related to R-loop access, would be via spliceosome-associated factors, such as CTNNB1 (28–30). Another mechanism of AID access to V genes could be through transient changes in the DNA topology during transcription elongation by RNA polymerase II, which could potentially expose ssDNA structures (31). Conversely, others found that haploinsufficiency for the AID^{S38A} mutation also very severely compromises SHM, similar to its effects on CSR (24), indicating that endogenous AID activity also works at near-threshold levels for SHM. In that context, it is conceivable that AID levels also may vary in the context of particular routes of endogenous B-cell SHM, allowing phosphorylation to take on more or less important roles. Finally, in the context of both SHM and CSR, phosphorylation of AID at S38A adds to the growing list of posttranscriptional mechanisms for this potent mutator (32–35) and, correspondingly, might be important in allowing activated B cells to strike a balance between AID activity on the IgH locus versus non-Ig genes (36–38).

Materials and Methods

Mice. AID^{S38A} mice, mutated at AID position 38 (serine-to-alanine substitution), were generated using the gene targeting strategy outlined in Fig. S1. The 5' and 3' arms of the targeting vector were, respectively, 4.8 kb and 4.5 kb long. The 3' arm contained the AGT-to-GCT mutation corresponding to the S38-to-alanine mutation. A LoxP-flanked neomycin resistance gene was used for positive selection, and a herpes simplex virus thymidine-kinase gene was used for negative selection. The targeting construct was linearized and transfected into 129SvEv ES cells. The genotype of the selected ES cells was confirmed by Southern blot analysis in addition to PCR amplification and sequencing using a primer that anneals to a location outside of the targeting construct. To generate $AID^{S38A/S38A}$ homozygous mutant ES cells, we subjected the $AID^{S38A/+}$ ES cells to high G418 concentrations. The neomycin resistance cassette, flanked by loxP sites, was removed from targeted ES cells by expression of the Cre-recombinase through an adenoviral vector. Deletion of the resistance cassette was monitored by Southern blotting. The $AID^{S38A/S38A}$ ES cells were injected via RDRC to generate $AID^{S38A/S38A}$ chimeric mice. $AID^{S38A/+}$ ES cells were used to generate $AID^{S38A/+}$ germline mice, which were crossed to $AID^{-/-}$

C57BL/6 mice (5) to produce the $AID^{+/-}$ and $AID^{S38A/-}$ mice used for analysis. The expression of AID^{WT} and AID^{S38A} mutant proteins was detected using polyclonal antibodies specific to AID protein raised in rabbits (7). All experiments with mice were done according to protocols approved by the Children's Hospital Boston Animal Care Facility.

Class Switch Recombination Assay. B cells were isolated from 8-week-old mouse spleens of various genotypes through negative purification of B cells in magnetic-activated cell sorting columns with anti-CD43 antibody-coated magnetic beads (Miltenyi Biotec). The isolated B cells were incubated in RPMI medium containing 50 μ M β -mercaptoethanol with 15% FCS. The cells were stimulated in culture with anti-CD40 antibodies (BD Pharmingen) plus IL-4 (20 ng/mL) or with LPS (25 μ g/mL) and anti- δ -dextran (3 ng/mL) (a kind gift from R. Casellas, Bethesda, MD) for CSR to IgG1 or IgG3 isotype, respectively. Cells were harvested after days 3.0, 3.5, or 4.5 and assayed for surface IgG1 or IgG3 production using flow cytometry with antibodies specific for these isotypes.

Somatic Hypermutation Analysis. Peyer's patch B220⁺PNA^{high} cells of age-matched 8-week-old mice were obtained using the BD FACSAria cell sorter (Becton Dickinson). DNA was isolated from collected populations using the DNeasy Blood and Tissue Kit (QIAGEN). PfuTurbo DNA polymerase (Stratagene) was utilized to amplify V_HJ558DJ_H rearrangements using the oligonucleotides J558FR3Fw (GCCTGACAT CTGAGGACTCTGC) and JH4intronRv (CCT CTC CAG TTT CGG CTG AAT CC) (26). PCR products were purified using the QIAquick PCR Purification Kit (QIAGEN) and cloned directly using the pGEM-T Easy Vector System (Promega) as suggested by the manufacturer. Recombinant clones were identified via blue/white color selection. Clones containing V_HJ558DJ_H rearrangements were identified by colony hybridization using a full-length J_H4-specific probe. Cloned inserts of appropriate size corresponding to V_HJ558DJ_H rearrangements were sequenced, and a 672-bp region immediately downstream of J_H4 was analyzed.

AID Immunoprecipitation Assay. Nuclear extracts from $AID^{-/-}$, AID^{WT} , and AID^{S38A} mutant B cells were prepared as described previously (7). The extracts were precleared using protein A sepharose and thereafter treated with anti-AID antibodies (20 μ g) and protein A-sepharose for 6 h at 4 °C in buffer A (20 mM Tris [pH 7.5], 1 mM DTT, 10 μ M ZnCl₂, 0.5 mM EDTA, 500 mM NaCl, and 10% (vol/vol) glycerol). To determine RPA interaction, the immunoprecipitate was washed in buffer A + 150 mM NaCl and then analyzed by Western blotting using anti-RPA32 antibodies (7, 16).

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